

BURGAN BANK A.Ş.

CLIENT CLASSIFICATION NOTIFICATION & REQUEST FORM

I. Client Definition and Classification

A client is a real or legal person served by investment institutions in terms of investment and auxiliary services. The Investment Institution will classify the clients as professional or general clients and offer products and meet its liabilities based on such classifications. The Client can change classification provided that applicable requirements are met.

The Client will inform the Investment Institution in case any issue which may effect its classification occurs and the Investment Institution will take the necessary measures to meet its regulatory obligations in case it becomes aware of occurrence of any issue which may effect the client's classification. The Client will be responsible for the accuracy of the provided information and updating them as necessary.

The Investment Institution will request documents which confirm that the Client is a professional client and maintain them as required by the regulations issued by the Capital Markets Board.

Professional Client can be reclassified as a General Client upon request in written. Professional Client is required to inform the Investment Institution in case of a change in the required conditions.

1. General Client Classification

It is considered that all clients not classified as Professional and Request-based Professional Clients as per articles 31 and 32 of the Communiqué III-39.1 on Procedures on Establishment and Operations of Investment Institutions by the Capital Markets Board are classified as General Clients. Clients who consider himself as Professional Client will apply Burgan Bank A.Ş. with a statement and the supporting documents regarding the conditions listed in the Communiqué to be classified as a Professional Client.

2. Professional Client Classification

It is considered that professional clients have the experience, knowledge and expertise required to make their own investment decisions and assess the risks undertaken. Classification as a Professional Client requires that the institution is among the ones listed or meets the specified qualifications.

- a. Intermediary Institutions, banks, portfolio management companies, collective investment institutions, retirement pension funds, insurance companies, mortgage companies, asset management companies and similar companies abroad.
- b. Pension and charity funds, funds established as per temporary article 20 of Social Insurance Law 506 dated 17-Jul-1964.
- c. Public institutions, Central Bank of Turkey and international organizations including World Bank and International Monetary Fund.
- d. Other organizations which may be accepted by the Board based on their qualifications.
- e. Organizations which meet minimum two of these three criteria: total assets of TRY 500,000,000, annual net revenues of TRY 1,000,000,000, equity capital of TRY 50,000,000.

3. Request-based Professional Client Classification

General Clients can be classified as Request-based Professional Clients upon written request and submittal of supporting documentation to Burgan Bank A.Ş. General Clients should meet minimum two of the criteria listed below to be classified as Request-based Professional Clients:

- a) Performance of minimum 10 capital markets transactions in the last 1 year, with a total volume of TRY 5,000,000 in each quarter,
- b) A total of financial assets at an amount of TRY 10,000,000, including cash deposits and capital markets instruments,
- c) Holding of an executive position in finance for minimum 2 years or an expert position in capital markets for minimum 5 years or Capital Markets Activities Level 3 License (formerly Advanced Level License) or Derivatives License.

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II. Provisions Professional Clients and Request-based Professional Clients Are Not Entitled To:

Provisions professional clients are not entitled to as per article 31 of the Communiqué III-39.1 on Procedures on Establishment and Activities of Investment Institutions (Communiqué on Investment Institutions) issued by the Capital Markets Board are listed below:

a) Reconciliation for assets under custody is not necessary provided that a written approval is received:

As per the first paragraph article 68 of the Communiqué III-37.1 on Investment Services and Activities and Principles on Supplementary Services by the Capital Markets Board (Communiqué on Investment Services), clients which receive custody services and institutions authorized to offer custody services will make a written or electronic reconciliation minimum once in each calendar year regarding the client's capital markets instruments and cash. Second paragraph of the same article regulates that such reconciliation is not required in case of a written approval from a professional client.

b) Monthly notifications on the balance of the asset within custody accounts is not required in case of a written agreement:

The first paragraph of article 69 titled "notifications on client assets" of the Investment Services Communiqué requires that the authorized company sends the client a notification on the client's capital markets instruments and cash minimum once a month in accordance with the Board's documentation and registration regulations and an agreement not to make such notifications can be made under the framework agreement or a separate agreement with the client.

c) Appropriateness test is not required:

The first paragraph of article 33 titled "appropriateness test" of the Communiqué on Investment Institutions requires investment institutions to perform appropriateness tests for real general clients for purchasing intermediary services or public offering intermediary services.

d) Risk notifications in addition to the general risk notification form will be specified upon request:

The second paragraph of article 25 titled "liability of notification of risks to the client" of the Communiqué on Investment Institutions requires that investment institutions will explain the risks of capital market instruments to the client as specified in the third paragraph and receive a written statement that such explanation has been read and understood by the client in addition to the general risk notification under the first paragraph before providing service under activity of intermediary in trading transactions. Seventh paragraph of the same article requires that the notifications specified in second and third paragraphs are made if a professional client makes a request.

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Based on the information submitted by you to the Bank, your client classification is as follows:

☐ **General**

☐ **Professional**

Date:

➤ **Please fill in the following section if your client classification is “GENERAL CLIENT”.**

I have been notified as per Communiqué III-39.1 on Procedures on Establishment and Activities of Investment Institutions by the Capital Markets Board that my client classification is **General**.

Please select one of the following options regarding your account abstract if you are NOT a user of Internet Banking/Mobile Banking:

☐ I want to view my account abstract **online** using my Internet Banking/Mobile Banking user name and password.

☐ I want to view my joint account abstract **online** using my Internet Banking/Mobile Banking user name and password.

☐ I want my account abstract sent via **e-mail** (free of charge).

E-mail Address:@.....

☐ I want my joint account abstract sent via **e-mail** (free of charge).

E-mail Address:@.....

☐ I want my account abstract via **mail** (any mail fee payable to third parties will be collected from you).

☐ Business Address

☐ Home Address

☐ Other

Address :.....

☐ I want my joint account abstract via **mail** (any mail fee payable to third parties will be collected from you).

☐ Business Address

☐ Home Address

☐ Other

Address :.....

Client's Name/Title:

Signature/Approval:

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- **Please fill in the following section if your client classification is “PROFESSIONAL CLIENT”.**

I have been notified as per Communiqué III-39.1 on Procedures on Establishment and Activities of Investment Institutions by the Capital Markets Board that my client classification is **Professional** and I have been notified on the provisions I am not entitled to in the capacity of a Professional Client.

Please select one of the following options regarding your account abstract if you are NOT a user of Internet Banking/Mobile Banking:

☐ I want to view my account abstract **online** using my Internet Banking/Mobile Banking user name and password.

☐ I want my account abstract sent via **e-mail** (free of charge).

E-mail Address:@.....

☐ I want my account abstract via **mail** (any mail fee payable to third parties will be collected from you).

☐ Business Address

☐ Home Address

☐ Other

Address :.....

.....

Choose one of the options regarding Capital Markets Instruments and cash reconciliation:

☐ I want reconciliation.

☐ I do not want reconciliation.

Client's Name/Title:

Signature/Approval:

If this Notification & Request Form is approved via digital channels, this form and other documents will be available via permanent data storage.

In case of a transaction using the remote access tool, this Notification & Request Form will be digitally approved without wet signature required.